



Doctor of Philosophy Regulation

(Ph.D.)

Srinivas University

Educating the Next Generation

Private University established by Karnataka State Govt. Act 42 of 2013, Recognized by UGC, New Delhi, Member of Association of Indian Universities, New Delhi)
Main Campus, Srinivas Nagar, Surathkal, Mangalore – 574146.
City Campus, Pandeshwar, Mangalore – 575001, Karnataka State, India.

SRINIVAS UNIVERSITY REGULATIONS GOVERNING THE STANDARDS & PROCEDURES FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph D)

TITLE AND COMMENCEMENT:

These Regulations shall be called **“SRINIVAS UNIVERSITY REGULATIONS GOVERNING THE STANDARDS AND PROCEDURES FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph D), 2023”**

- These Regulations shall come into force from the date of assent of the Chancellor.
- The DIRECTOR - Research and Innovation Council shall be responsible for all the matters pertaining to Ph.D. programs of the SRINIVAS University.

I. DEFINITIONS:

In these Regulations, unless the context otherwise requires:

- 1.1. “University” means the SRINIVAS University.
- 1.2. “Degree” means the degree of Doctor of Philosophy (Ph. D).
- 1.3. “INSTITUTE” means the Institute of Studies and Research center of the SRINIVAS University established by Statutes and intend to work for a Degree.
- 1.4. “Department” means the Department of Studies and Research of the Institute of the SRINIVAS University that intends to work for a degree.
- 1.5. “Dean of the Institute” means the Dean of the Institute of Studies and Research of the SRINIVAS University where a candidate works for a degree.
- 1.6. “Head” means Head of the Department of Studies and Research center.
- 1.7. “Candidate” means any person who satisfies the prescribed eligibility condition as stated in Section 5 of the Regulations and who intend to register / has registered for degree.
- 1.8. “Foreign candidate” means any person who is a foreign national with a valid foreign Passport satisfying the prescribed eligibility conditions as stated in Section 5 and who intends to register/ has registered for a degree, with a valid research VISA.
- 1.9. “Board of Studies” means the Board of Studies in the subject concerned/ Joint Boards of Studies in more than one subject / Interdisciplinary Board of Studies as constituted by the University.
- 1.10. “Coursework” means the compulsory preparatory study to be undertaken by a candidate as prescribed by the concerned Board of Studies.
- 1.11. “Guide” means the recognized supervisor for the research work of a candidate satisfying eligibility conditions as in Section 4 of Regulations.

- 1.12. "Co-guide" means the recognized supervisor(s) who supervises the Ph.D work of a Candidate. Jointly with the guide satisfying eligibility conditions as in Section 4 of these Regulations.
- 1.13. "Doctorial Committee" means the Committee constituted by the University to oversee the Research work of a candidate.
- 1.14. "Board of Examiners" means the panel of examiners constituted by the University for adjudicating the Ph.D thesis submitted by a candidate.
- 1.15. "Journal of Repute" means the journal enlisted by the Board of Studies as per the UGC guidelines in the concerned discipline / subject / group of subjects.
- 1.16. Coordinator: means he is looking the overall progress of the research scholars of the institute

II. CONSTITUTION AND FUNCTIONS OF THE DOCTORAL COMMITTEE:

The Doctoral Committee shall consist of:

- 2.1. The Guide and Co-guide if any, of the candidate
- 2.2. Dean/Coordinator of the Institute of Studies and Research concerned
- 2.3. The Head of the Department of Studies and Research of the University
- 2.4. Two/one Faculty Members/Scientists of the Department/Institution/ Other University suggested by the guide. The faculty members/Scientists are those who are in the broad area of the research work.

The Guide shall be the Chairperson of the Doctoral Committee. Wherever Guide is also the Dean of the College of Studies and Research / Head of the Department of Studies and Research of the University or Head of the Department of the concerned subject or another Senior Faculty Member / Scientist in the Department shall be included in the Committee. In such of Studies and Research / Departments wherein the number of faculty is less than two, members of the Doctoral Committee shall be chosen from sister Colleges of Studies / Department of Studies/other institutions. The Doctoral Committee shall monitor and assess

- 2.5. Ph.D., I DCM Meeting as in section 5.2
- 2.6. Progress reports of a candidate submitted compulsorily once in a Six month
- 2.7. II DCM Meeting (Pre-thesis submission colloquium) as in 7.1 of these regulations;
- 2.8. Open viva-voce of the candidate as in 7.8 of these regulations.

III. ELIGIBILITY CRITERIA FOR RECOGNITION AS GUIDE:

- A. Any regular Professor of the University with at least five research publications in refereed journals.
- B. **Assistant Professors/Associate Professors and Scientists of equivalent cadre** working in various Institute/departments of the University are eligible to be recognized as Ph.D. Guides in their respective subject provided, they:
 - 3.1. Full time Faculty with 2 years after Ph.D. & minimum 3 publications; or Full time Faculty with Ph.D. & minimum 8 publications are eligible for guiding, Publication clause can be waived off for Rare Guide availability subjects.
 - 3.2. Research Professor: Senior Professor completed Ph.D. with minimum 2 publications in ISSN journals.
 - 3.3. Co-Guide Option: Support from Co-guide is an option for Interdisciplinary research. Co-Guide should have Ph.D. in related subject with minimum 10 Journal publications and such application should be forwarded through their institutional head.
 - 3.4. Assistant Professor / Scientists of equivalent cadre including those working in Srinivas University desirous of supervising candidates of other disciplines / interdisciplinary studies, shall submit an application with detailed curriculum vitae (CV) to the Director of Research and Innovation Council of the University along with evidence of regular service and of publications of research articles in the discipline concerned.
 - 3.5. Teaching faculty / scientists / industry personnel, who join the University after serving elsewhere with three years of regular teaching / research experience in relevant discipline, are also eligible to be considered for recognition as guide if they satisfy the other requirements as mentioned in 3.1 and 3.2.
 - 3.6. Faculty / Scientists of the University who attain superannuation or resign their jobs will forfeit recognition as Guide / Co-guide. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation.
 - 3.7. A Guide may supervise not more than eight candidates. Research Guide who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Guide can guide up to six (6) Ph.D. scholars and an Assistant Professor as Guide can guide up to four (4) Ph.D. scholars.

3.8. A Guide may be permitted to recruit two more candidates in addition the specified candidates specified as 3.8. Under projects funded through the University by recognized funding agencies.

- a) Foreign nationals and candidates belonging to reserved categories may be given due opportunity while selecting candidates to register for PhD.
- b) Guide has to sign a declaration about the number of candidates working with him / her. Such declarations shall accompany the registration application of every candidate. A faculty who joins the University and is guiding candidates working in other Universities / Institutions shall inform the University of the same and get permission to continue guiding those candidates.

3.9. A Guide / Co guide also has to give an undertaking that he/she shall continue to be the guide till such time that the candidate complete research, submit thesis and degree is awarded.

A Guide / Co-guide who has been recognized to supervise the work in more than one subject is also governed by the preceding regulations at sub section 3.9. The total candidates working under any Guide shall be the sum total of all the candidates working under his / her supervision in all the subjects.

In the Institutes wherever infrastructure requirements including chemicals / equipments / instruments are required, the concerned institute Council / Department Council shall decide on the number of candidates without fellowship / stipend it can accommodate per faculty member and send this information to the Dean of Research and Innovation Council before notification for PhD admission is issued.

The Institute Council shall recommend the amount of Laboratory Fee to be collected from the candidates without fellowship / stipend based on research needs of the candidate.

IV. ELIGIBILITY CRITERIA FOR A CANDIDATE:

- 4.1. Any candidate who satisfies the following conditions is eligible to seek registration for Ph.D. in the University application for provisional registration after going through these Regulations and Guidelines for Ph.D. Which shall be supplied along with the application.
- 4.2. A candidate shall have Master's Degree or any other Degree / Diploma of the University or any other Degree / Diploma of any other University / Institution recognized as equivalent thereto, with a minimum of 55% marks or equivalent Cumulative Grade Point Average (CGPA) or an equivalent Grade. However, this condition is relaxed to **50%** in case of belonging to SC/ST/Cat.- I candidates
- 4.3. A candidate shall be guided by a Research Guide recognized by the University in the concerned subject

- 4.4. In case where the research of a candidate is inter/ multi-disciplinary in nature, the candidate may opt for a Co-guide, who shall also be the recognized Guide of the University in the concerned subject. However, the main responsibility of supervising the research work is vested with the Guide and the candidate shall finalize and submit the thesis through the Guide giving due acknowledgement and credit to the Co-guide which shall also be mentioned in the Ph.D Notification by the Research Council after successful completion of Ph.D.
- 4.5. Each supervisor can guide upto two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in 4.6. The University may decide the selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/ regulatory bodies concerned from time to time. NRI/FN/PIO Candidates shall be eligible for admission to Full-Time Ph.D. research programme.

However, the Candidates shall have passed the UG / PG programmes in the appropriate branch from a University recognized/accredited by approved bodies in India with medium of instruction in English or qualified in TOEFL / ELTS, or equivalent and be able to communicate in English and submit the Thesis in English language only. Foreign Nationals shall hold valid passport/visa, clearance from MHRD and other documents as mandated by the GOI throughout their studentship at the University.

- 4.6. Entrance Test: Admission to Ph.D. shall be through an Entrance Test and Interview. Applications for Entrance Test shall be invited twice a year in the months of January & June, depending on the vacancies existing in each subject. The Director of Research and Innovation Council shall obtain details of vacancies from the Head of Institutes / Centres/ Departments / Deans before inviting applications.
- 4.7. The Entrance Test shall consist of one paper on the broad area of Ph.D. of 50 marks and syllabus of the paper shall be framed by the respective Boards of Studies based on UGC / CSIR National Eligibility Test (NET) pattern. 50 marks for Interview. Minimum 50% is essential to qualify the exam. Based on the vacancy merit list is announced for the final admission. Candidates cleared NET/SLET/GATE/ M.Phil or any competitive exams are directly appear for the Interview.
- 4.8. Provisional Registration: Selected candidates shall apply for Provisional Registration as Doctoral Candidates after payment of stipulated fee to the University.

V. REGULAR REGISTRATION:

5.1. Course Work:

Course Work is an essential component of Research leading to Doctoral Degree. Each candidate provisionally registered for the Ph.D. programme shall have to go through the prescribed coursework choosing four subjects, out of which one subject namely Research Methodology is compulsory.

Minimum four subjects:

1. Research methodology should be compulsory for all disciplines
2. Second Subject from core subjects of respective discipline.
3. Third paper is on analysis of patents/ case studies in core subject with Publication/presentation in conference or Journal
4. Fourth paper – Review on Research topic and publication.

Maximum duration for completion of course work is 2 year (4 attempts). In Special cases because of health issues and Female cases, they can take the permission for additional one year

- The internal assessment for the all the subjects comprise of Seminars Assignments and Internal examination. Guide/Coordinator decides the overall marks distribution.
- The IA for the third paper is based on the presentation of the work. It is already presented in Conferences / Published in conferences / published in journals.
- The IA for the fourth paper is based on the presentation. It is already published as a review article in the journal.
- One MOOCs course on Research methodology and one course certificate of our university workshop is compulsory and it is treated the part of IA for the I paper
- The course end examination shall be conducted by the Registrar (Evl) of the university

The Scheme of Assessment of Course Work:

Sl. No	Title of the Course	Internal Assessment Marks	Course End Written Test Marks	Total Marks	Total Credits
1.	Research Methodology	50	50	100	4
2.	Advanced Subject	50	50	100	4
3.	Analysis And Presentation Of Proposed Research Topic	50	50	100	4
4.	Review Of Literature	50	50	100	4

Candidates who are unsuccessful in the Course Work shall be permitted to reappear for Assessment in the next semester. Provisional Registration of a candidate who fails in the Assessment of Course Work in four attempts shall be cancelled by the Director.

5.2. First Doctoral Committee Meeting:

- a) After successful completion of Course Work as certified by the Registrar (Evaluation), a candidate, in consultation with his / her Guide, shall prepare a Research proposal (synopsis) in consultation with his / her coordinator/Guide and shall submit a copy of the synopsis to the Director through Guide and Co-guide (s), if any.
- b) The Research proposal (synopsis) shall consist of the background of the research problem, review of literature, need with justification of research problem, title of the proposed problem, definition of concepts, objectives and hypotheses of the study, methodology to be followed and techniques to be adopted for data collection and analysis, scope and limitation of the study and proposed form of research report. The candidate shall ensure that the synopsis clearly defines the objectives, methodology and state expected results and their implications in terms of filling up gaps in existing knowledge and its social / scientific relevance.
- c) The Guide/Coordinator shall organize a meeting of I DCM by seeking the permission from Director
- d) Every candidate shall prepare a brief technical report comprising literature survey / review, work plan and the scientific relevance of the proposed research and shall present it in the form of a viva before the Doctoral Committee.
- e) The Doctoral Committee is authorized to suggest changes, if necessary, in the title /scope methodology of the topic selected for research, based on the performance of the candidate in the viva
- f) The Doctoral Committee shall assess the preparedness of the candidate for the research to

be undertaken and submit its report in the format. It may, however, ask the candidate to present the viva again if the performance / preparedness of the candidate in the first instance is not satisfactory. Only one re-appearance is permitted within 2 months from the date of first viva.

- g) If the Doctoral Committee does not approve the research proposal even after the second presentation, such a candidate, after a lapse of one year from the date of the second presentation, can again present the work, after fresh Provisional Registration without undergoing Course Work.

The Chairperson of the Doctoral Committee shall inform the decision of the viva to the Director of Research and Innovation Council of the University through Dean of the College, as well as to the candidate **within one week** of the viva. Based on the recommendations of the Doctoral Committee.

5.3. Registration Conformation

Based on the reports of Doctoral committee, Director will issue the Final Registration Letter that consists of Topic and Guide name.

VI. PROGRESS OF WORK:

The report of progress of research work along with recommendation of the Guide has to be submitted to the Director of Research and Innovation Council once in every Six months.

- 6.1. The candidate is required to submit the following to the Director of Research and Innovation Council through the Guide/ coordinator of the Institute
- 6.2. Half yearly Progress Report of Research Work done
- 6.3. Prescribed tuition and laboratory fees, as applicable,
- 6.4. Recommendation of the Guide and Co-guide (if any) regarding progress of research.
- 6.5. June 30th and December 31st is the last day for Progress report submission
- 6.6. Failure to submit two Consecutive Research Progress Reports shall entail cancellation of the Registration, and the Director of Research and Innovation Council shall notify this.
- 6.7. The candidate shall publish at least TWO research articles as First author based on his / her Research Work in UGC refereed journals to be eligible to submit the final thesis.

VII. SUBMISSION OF THESIS AND EVALUATION:

Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum five years for full time and six years for Part time candidates

7.1. Second Doctoral Committee Meeting (Pre-Thesis Submission Colloquium):

- 7.1.1. A candidate has to submit a copy of the Synopsis of his / her Ph.D. Thesis highlighting contents of the Thesis and enclosing evidences of two research publications in peer reviewed journals in the form of acceptance letters or published papers, to the Chairperson of the Doctoral Committee.
- 7.1.2. The Chairperson of the Doctoral Committee shall organize the Pre-thesis Submission Colloquium meeting in the concerned Department in consultation with the Dean of the College within fifteen days of the receipt of the Synopsis.
- 7.1.3. The Doctoral Committee shall advise and offer suggestions to the candidate for the finalization of the Thesis. The Doctoral Committee shall also look into the quality of two articles published in standard / reputed journals.
- 7.1.4. The Chairperson of the Doctoral Committee shall inform the decision of the Pre-thesis Submission Colloquium to the Director of Research and Innovation Council of the University as well as to the candidate within a week of conducting the Colloquium.
- 7.1.5. If the Doctoral Committee is not satisfied with the performance of the candidate in the Pre- thesis Submission Colloquium of a candidate, it may suggest necessary incorporations / modifications, if any and ask the candidate to appear for the Colloquium again after a gap of one month.

7.2. Submission of Final Synopsis of Ph.D.:

- 7.2.1. After successful completion of the Second Doctoral Committee meeting, a candidate shall submit a copy of the Synopsis (Hard and soft copy) of his / her Ph.D along with Panel of Examiners approved in BOS/Doctoral committee.
- 7.2.2. Thesis with prescribed fees is submitted to the Director of Research and Innovation Council through the Guide, Co Guide (if any) and the Dean of the Institute / Centre. The Director will forward the same with necessary certification about progress reports & Fees paid, to the Director – Research Panel of examiners should contain minimum of twelve examiners drawn from different Universities, six of whom shall be from outside Karnataka / India, to the Director - Research.

7.3. Submission of Ph.D. Thesis:

- 7.3.1. A candidate shall prepare a Thesis embodying results of original research and submit to the Director- 3 copies of his / her Ph.D. Thesis and an electronic version of the Synopsis and Thesis in pdf format (3 discs) for evaluation.
- 7.3.2. A certificate duly signed by the Guide and Co-guide, if any, to the effect the candidate has produced as main author / co-author. Two research articles based on his / her research work in his / her Ph.D. thesis and that the research work and the thesis has not been previously submitted by the candidate or the Guide or the Co-guide, if any, either for award of any Degree or Diploma to this or any other University, shall be enclosed along with the Thesis.
- 7.3.3. If any candidate fails to submit his / her Ph.D. Thesis within FIVE years for Full time and SIX years for part time, he / she may apply to University for Extension of his / her Registration for a maximum of one more year with the recommendation of the Doctoral Committee, through the Dean of the Institute by remitting the prescribed fee.

7.4. Anti-Plagiarism Check & Submission of the Thesis:

- 7.4.1. The candidate's thesis shall undergo plagiarism check as per the norms
- 7.4.2. The plagiarism shall be checked by software approved by the university and the percentage of plagiarism (similarity Index of 15%) shall be as permitted by university owing to software limitation to identify the self-written research papers and definitions and common theory
- 7.4.3. The candidate may be given two more chances by the University to re-submit the thesis for plagiarism checking, if the plagiarism level is found unacceptable.
- 7.4.4. Suitable fees shall be charged to the candidate by the University for Plagiarism checks conducted

7.5. Evaluation of the Ph.D. Thesis:

- 7.5.1. The Thesis shall be evaluated by Guide as Internal Examiner and two External Examiners.
- 7.5.2. Director will forward the thesis to the registrar (Evl) after satisfaction of all the conditions. The Registrar (Evaluation) shall seek from the Chancellor appointment of at least Twelve examiners, of whom 6 are from other state(s) / abroad. Registrar (Evaluation) will take the final approval of two external examiners from Chancellor and send the thesis to two examiners whose acceptance are received first, provided one of them should be outside the State / abroad. Registrar (Evl) shall take the approval of the additional examiner from the chancellor in the given list, If Chancellor marked examiners are not accepted to evaluate the thesis.
- 7.5.3. The Examiners shall be asked to sign a Declaration that he / she is not a relative of the candidate or the Guide or the Co-guide and that he / she has no conflict of interest in valuing the Ph.D. Thesis.

- 7.5.4. The Examiners of the Thesis shall get a copy of the Thesis along with a copy of the Registered Synopsis and the Examiners have to examine whether the candidate has achieved the objectives mentioned in the Synopsis.

7.6. Evaluation Reports:

- 7.6.1. The External Examiners shall send the Evaluation Report to the Registrar (Evl).
7.6.2. Registrar (Evl) will forward same to Director (Research) for further process

7.7. Decision about Evaluation:

- 7.7.1. If both External Examiners reject the Thesis outright, the Director shall notify rejection of the Thesis and cancellation of Ph.D. Registration.
7.7.2. If one of the External Examiners rejects the thesis, the thesis shall be referred to another examiner. If this Examiner also rejects the Thesis, the Director shall notify Rejection of the Thesis and cancellation of Ph.D. Registration.
7.7.3. If any one of the Examiners recommends Revision and Revaluation of the Thesis, then the candidate shall revise the Thesis based on the suggestions made by the Examiner and submit
7.7.4. The Revised Thesis, duly certified by the Guide and with payment of the prescribed fees to the Director of the University through the Guide and the Dean of the College /Principal of college. The Director shall send the Revised Thesis within fifteen days to the same Examiner.
7.7.5. If an Examiner recommends the award of degree after incorporating the suggested revisions/ corrections, such modifications shall be complied with by the candidate and Guide and ratified by the Doctoral Committee upon receipt of the letter from the Director. After ratification, the Revised Thesis shall be submitted to the Director along with the prescribed fees.
7.7.6. If both External Examiners recommend acceptance of the Thesis, Director Will submit the report to the Registrar (Evaluation). Finally, Director will take the permission form Registrar (Evaluation), Registrar, Vice chancellor and Chancellor and ask the guide to conduct the final viva voce by contacting the external examiner.

7.8. Viva Voce Exam:

- 7.8.1. After receipt of the above mentioned Evaluation and Consolidated Reports from the examiners, Director shall ask the Chairperson of the Doctoral Committee of the concerned candidate to conduct an open Viva-Voce examination in the presence of the members of the Doctoral Committee, members of the concerned Faculty, research scholars and students by giving wide publicity. The Proceedings of this Meeting and the original Evaluation and Consolidated Reports shall be sent to the Registrar (Evaluation) and Director within three days and the Registrar (Evaluation) has to notify the declaration of the results within one week after getting approval from the Vice Chancellor.

- 7.8.2. If the candidate desires, the viva-voce examination may be held via video conferencing as described in (a) after payment of necessary additional fees prescribed by the University.
- 7.8.3. In case of the death / disability / non-availability of the Guide, the Vice-Chancellor may nominate a member from the Panel of Examiners / Chairperson of respective Board of Studies to act as the Chairperson, Board of Examiners of the Thesis to adjudicate the thesis and to conduct the Viva- Voce Examination.

7.9. Ph.D. Notification:

The Ph.D. Declaration Notification by the Chancellor shall be on the Official Letter Head and shall contain:

- The Name of the candidate.
- The Discipline / Subject of the Ph.D.
- The Names of Guide, Co-guide (s), if any.
- The Name of the School where the research work was carried out.
- The Title of the Thesis.

The Director and Registrar (Evaluation) shall affix a Seal with the University Emblem stating that the Thesis was accepted for the award of Ph.D. Degree as per Srinivas University Ph.D Regulation, 2023 and the candidate has undergone course work in accordance with UGC Regulation and issue this copy to the candidate.

VIII. CHANGE OF TITLE / GUIDE:

- 8.1. A candidate desiring to change the title of the Thesis shall apply to the Director of Research and Innovation Council with a copy of revised Synopsis and changed Title through the Guide after concern with Doctoral committee.
- 8.2. Generally, change of Guide is not permissible. It may be permitted only under exceptional circumstances such as death / disability / other medical conditions of the Guide or legal actions on the Guide by the administration. However, if there is any written complaint about conflict between the Guide and the candidate, the Director of Research shall refer the matter to a Committee constituted by the University whose decision has to be placed before the Vice-Chancellor for final decision.
- 8.3. University committee shall take the decision to change of guide in the following cases
- a. Transfer/superannuation/Change of University after resignation from the parent university
 - b. Termination of the guide ship for the guide shall be imposed for those who are working unethically after issuing the MEMO to the corresponding guides.

IX. DEPOSITORY WITH INFLIBNET:

10.1. Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the M.Phil. dissertation /Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

10.2. Prior to the actual award of the degree, University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

X. RESIDENCE PERIOD

Attendance: Minimum 80% physical attendance (240/360 days) is compulsory for full time researcher. For part-time researcher, weekly one communication & submission of weekly report to Guide is compulsory. Further, all research scholars should attend monthly online General Session to be conducted by Research Director/Vice-Chancellor on Research Issues. Attendance for Annual Research Conclave is compulsory for all research scholars

XI. PUBLICATION OF THE THESIS:

If a candidate intends to publish the Thesis, he / she shall seek the permission of the University after paying the prescribed fee.

Any issue not covered by the foregoing shall be governed by the decision of the Vice-Chancellor.

Handling of Conflict between the Scholar and the Research Supervisor/ Research Co-Supervisor/ Research Center.

If there is any request to Director, from any one of the concerned, about the conflict between the Scholar and the Research Supervisor/ Research Co-Supervisor/ Research Center, the Director shall refer the matter to Vice Chancellor. The decision of the Vice Chancellor shall be final in this regard.

11.1. Cancellation of Registration

The Doctoral Committee shall be free to recommend the cancellation of Ph.D. registration of the candidate

- a) If, (i) Two consecutive research progress reports not adjudged to be satisfactory, and/or (ii) Two consecutive research progress reports not submitted;
- b) Before recommending cancellation of registration, the Doctoral Committee shall issue a show-cause notice to the candidate, seeking his/her explanation.
- c) If the Doctoral Committee does not agree the explanation, the candidate shall be free to appeal to the Vice-Chancellor with valid reasons, seeking intervention. The decision of the Vice-Chancellor in this regard shall be final.
- d) Director - Research can directly recommend based on the Progress reports to Vice Chancellor/Registrar to cancel the registration

11.2. Re Registration:

- a) After cancellation of the Registration based on the following conditions
- b) If registration cancelled because of not submitting the Progress reports for two consecutive terms
- c) If registration cancelled based on the Doctoral committee reports and Director reports
- d) If the candidate fail to submit the thesis in the prescribed duration
- e) If the examiners recommended against the thesis 8.27, 8.28, 8.29
- f) Candidate has to re-register as a fresh candidate by applying application from the beginning

XII. GUIDELINES FOR DOCTORAL RESEARCH

The Ph.D. program of the Srinivas University entails two stages:

- Entrance Test, Provisional registration, Coursework and Registration
- Thesis Submission

The following guidelines indicate procedures to be followed to maintain certain minimum standards in doctoral work. These procedures should help in minimizing large scale variations in the formats followed in doctoral work.

A. ENTRANCE TEST, PROVISIONAL REGISTRATION, COURSEWORK AND REGISTRATION:

- All candidates except those who have qualified for UGC / CSIR JRF fellowships, intending to pursue doctoral work shall apply for Entrance Test as per Section 5, as and when the University notifies such a test. Foreign candidates are exempted from Entrance Test because of Visa requirements.
- Those candidates possessing MPhil and those qualified for only Lectureship in NET Exam conducted by UGC/CSIR and those who are qualified in SET conducted by UGC approved center's are exempted from the Entrance Test. However, such candidates have to apply, and take interview as their merit will be considered based on the performance in the interview.
- After successful completion of the Entrance Test, candidates shall be called for Interview as and when vacancies arise and after successful completion of Interview, the School Board as per Section 5 of the regulations allots a Ph.D. Guide a candidate. Mere qualification in the Entrance Test shall not necessarily entitle a candidate for Provisional Registration for Ph.D.
- After selection, a candidate has to provisionally register as per Section 5. Application for Provisional Registration may be obtained from the office of the Director of Research and Innovation Council after payment of prescribed fee. Date of Provisional Registration for foreign candidates is the date of reporting for doctoral work to the Dean of the College concerned with a valid research Visa.

- All the candidates shall compulsorily undergo course work. After successful completion of Course Work as certified by the Coordinator/Guide of the College as per Section 5, a candidate has to deliver a Ph.D I DCM meeting as per Section 5.
- Candidates shall submit Research Progress Reports and pay prescribed tuition fee / laboratory fee wherever applicable, before the end of every year from the date of Provisional Registration, without fail, as per Section 6. Failure to submit two successive Research Progress Reports / pay tuition fee entails cancellation of Registration as per Section 6.

B. Ph.D THESIS SUBMISSION:

- A candidate is eligible to submit his / her Thesis only:
 1. After completing 3 Yrs. of research work from the date of Registration, as per **Section 7.**
 2. After publishing / getting acceptance letters as main author / co-author, for at least 2 research articles based on his / her research work related to his / her Thesis, as per Section 7.
- A candidate has to prepare Thesis and submit a copy of synopsis and 3 set of thesis (Hard and Soft copy), as per Section 7.
- All candidates shall pretest using anti plagiarism software, before submission of the thesis and follow, the guidelines below while preparing their Theses.
- The University would cross check the malpractice / plagiarism using anti plagiarism software and shall initiate necessary disciplinary action against the candidate and the Guide, in addition to rejecting thesis.

C. Disclaimer

The regulations outlined herein are subject to periodic review and amendment by the institution. Students are advised to regularly consult the latest version of the regulations.

The institution reserves the right to make changes to the PhD program structure, curriculum, requirements, and policies without prior notice. Such changes may include but are not limited to alterations in course offerings, examination procedures, and thesis requirements.

All the legal issues shall be resolved in Mangalore Sessions's court.

D. Grievance Redresses

It is essential for PhD programs to have clear and transparent grievance redressal mechanisms in place to address student concerns promptly and fairly, fostering a positive academic environment conducive to research and learning. These mechanisms should be communicated effectively to all students and faculty members to ensure awareness and accessibility.